10 QUESTIONS TO ASK YOUR FINANCIAL ADVISOR



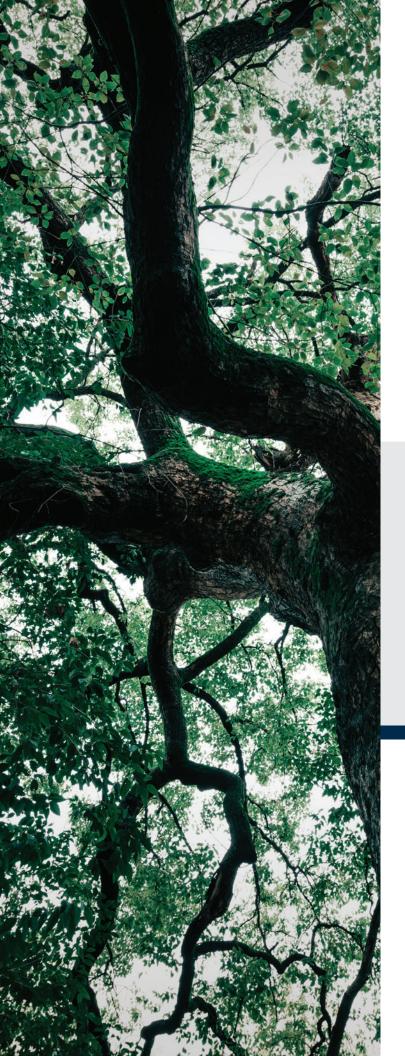
5847 San Felipe Street / Suite 700 / Houston, TX 77057 281.612.3304 | www.pricegroupwealth.com

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We believe that money does not have to be complicated. We are on a mission to deliver TRUST, TRANSPARENCY and ACCOUNTABILITY to clients.

How are you compensated for investment advice? Do some investments pay you more than others? Are you paid a commission on the investments you recommend?
Are you a fiduciary? Is your firm a brokerage firm or a Registered Investment Advisory (RIA) firm?
What certifications and credentials do you and your team have? How long have you been in this profession?
What does your communication process entail? Do you proactively advise me of changes to my portfolio?
How many clients do you have? How many clients does the typical advisor have? What is your capacity for new clients?
What wealth management services do you offer? What is your approach to financial planning? Will you be the only person working with me?
In simple terms, please describe your investment approach and also the investments used to manage client portfolios.
What does your typical client look like? Are you a generalist who tries to help everyone or do you have a niche?
Help me understand what I can expect from a partnership with your team.

SUCCESSION PLAN Tell me about your team. Do you have a succession plan?



DO YOU WANT TO HEAR OUR ANSWERS?

Give us a call at 281-612-3304.



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