

# 10 QUESTIONS TO ASK YOUR FINANCIAL ADVISOR



**THE PRICE GROUP**  
EVERYONE NEEDS A WEALTH COACH

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*We believe that money does not have to be complicated. We are on a mission to deliver TRUST, TRANSPARENCY and ACCOUNTABILITY to clients.*

<b>COST STRUCTURE TRANSPARENCY</b>	How are you compensated for investment advice? Do some investments pay you more than others? Are you paid a commission on the investments you recommend?
<b>FIDUCIARY</b>	Are you a fiduciary? Is your firm a brokerage firm or a Registered Investment Advisory (RIA) firm?
<b>QUALIFICATIONS</b>	What certifications and credentials do you and your team have? How long have you been in this profession?
<b>EXPECTED COMMUNICATION</b>	What does your communication process entail? Do you proactively advise me of changes to my portfolio?
<b>CLIENT CAPACITY</b>	How many clients do you have? How many clients does the typical advisor have? What is your capacity for new clients?
<b>PERSONALIZED SERVICE</b>	What wealth management services do you offer? What is your approach to financial planning? Will you be the only person working with me?
<b>INVESTMENT PHILOSOPHY</b>	In simple terms, please describe your investment approach and also the investments used to manage client portfolios.
<b>TYPICAL CLIENT</b>	What does your typical client look like? Are you a generalist who tries to help everyone or do you have a niche?
<b>CLIENT EXPERIENCE</b>	Help me understand what I can expect from a partnership with your team.
<b>SUCCESSION PLAN</b>	Tell me about your team. Do you have a succession plan?



DO YOU WANT TO HEAR OUR  
ANSWERS?

Give us a call at 281-612-3304.



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