10 QUESTIONS TO ASK YOUR FINANCIAL ADVISOR

THE PRICE GROUP
EVERYONE NEEDS A WEALTH COACH

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We believe that money does not have to be complicated. We are on a mission to deliver TRUST, TRANSPARENCY and ACCOUNTABILITY to clients.

COST STRUCTURE TRANSPARENCY	How are you compensated for investment advice? Do some investments pay you more than others? Are you paid a commission on the investments you recommend?
FIDUCIARY	Are you a fiduciary? Is your firm a brokerage firm or a Registered Investment Advisory (RIA) firm?
QUALIFICATIONS	What certifications and credentials do you and your team have? How long have you been in this profession?
EXPECTED COMMUNICATION	What does your communication process entail? Do you proactively advise me of changes to my portfolio?
CLIENT CAPACITY	How many clients do you have? How many clients does the typical advisor have? What is your capacity for new clients?
PERSONALIZED SERVICE	What wealth management services do you offer? What is your approach to financial planning? Will you be the only person working with me?
INVESTMENT PHILOSPOHY	In simple terms, please describe your investment approach and also the investments used to manage client portfolios.
TYPICAL CLIENT	What does your typical client look like? Are you a generalist who tries to help everyone or do you have a niche?
CLIENT EXPERIENCE	Help me understand what I can expect from a partnership with your team.
SUCCESSION PLAN	Tell me about your team. Do you have a succession plan?

DO YOU WANT TO HEAR OUR ANSWERS?

Give us a call at 281-612-3304.

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STEWARD PARTNERS
GLOBAL ADVISORY

RAYMOND JAMES